## **Sleep Medicine**

# The relationship between neurodevelopmental transcriptional programs and insomnia: from Rubinstein-Taybi syndrome into energy metabolism --Manuscript Draft--

Manuscript Number:	
Article Type:	Brief Communication
Keywords:	sleep, insomnia, CREBBP, neurodevelopmental disorders, energy metabolism, mitochondria.
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Abstract:	Neurodevelopmental disorders (NDD) are characterized by cognitive, emotional, and/or motor skills impairment since childhood, and sleep disturbances are a common comorbidity. Rubinstein-Taybi syndrome (RTS), a rare genetic syndrome associated with NDD, is caused by CREBBP haploinsufficiency. This gene encodes an acetyltransferase with crucial role on the establishment of transcriptional programs during neurodevelopment. Although insomnia has been reported in RTS patients, the convergent mechanisms between this sleep disturbance and CREBBP loss-of-function remain unclear. We tested weather the genetic architecture underlying CREBBP regulatory targets and insomnia-associated genes is significantly shared. We then identified the biological pathways enriched among these shared genes. The intersection between CREBBP regulatory targets and genes associated with insomnia included 7 overlapping genes, indicating significantly more overlap than expected by chance. An over-representation analysis on these intersect genes identified pathways related to mitochondrial activity. This finding indicates that the transcriptional programs established by CREBBP might impact insomnia-related biological pathways through the modulation of energy metabolism. The overlapping gene set and biological pathways highlighted by this study may serve as a primer for new functional investigations of shared molecular mechanisms between insomnia and CREBBP regulatory targets.
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Functional Neurobiology Lab Sleep Institute Associação Fundo de Incentivo à Pesquisa (AFIP)

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July 19th, 2023

Prof. Dr. Winfried Randerath Editor-in-chief, *Sleep Medicine* 

Dear Dr Randerath,

Thank you for considering our manuscript, "The relationship between neurodevelopmental transcriptional programs and insomnia: from Rubinstein-Taybi syndrome into energy metabolism," for publication in *Sleep Medicine* as a *Brief Communication Article*.

Although sleep disturbances, such as insomnia and sleep apnea, are known to be highly prevalent on neurodevelopmental disorders (NDD), the convergent molecular mechanisms that link NDD and sleep-related traits are largely unknown. Neural transcriptional programs established during development have been implicated in disease etiology, and chromatin regulators are key effectors of this neuronal epigenetic modulation. The CBP protein, encoded by *CREBBP* gene, is one of the main regulatory hubs responsible for the establishment transcriptional programs during the neurodevelopment. Rare genetic variants affecting *CREBBP* gene were identified causal for Rubinstein-Taybi syndrome, an NDD-related phenotype in which sleep disorders are a frequent comorbidity. The next questions pressing the field revolve around the biological mechanisms underlying the connection between the epigenetic dysregulation followed by *CREBBP* loss-of-function and circadian patterns.

We leveraged gene lists which account for (1) insomnia-associated genes and (2) CBP genomic regulatory targets. After demonstrating significant overlap between those 2 gene lists, we generated functional interaction networks from the set of intersect genes. Our findings suggest that mitochondria function is directly affect by the CBP regulatory targets which are also insomnia-associated genes. These results suggest that the epigenetic modulation of energy metabolism pathways might be a putative factor underlying the clinical association between *CREBBP* haploinsufficiency and insomnia.

The framework used in this study may be applied to other rare NDDs associated with chromatin regulators, leveraging mechanistic insights on the influence of chromatin regulation over circadian patterns.

Thank you for your consideration,

### UNIFESP





Mariana Moyses-Oliveira

# The relationship between neurodevelopmental transcriptional programs and insomnia: from Rubinstein-Taybi syndrome into energy metabolism

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#### **Highlights**

- The Rubinstein-Taybi syndrome (RTS) is a rare genetic syndrome associated with autism spectrum disorder (ASD) and driven by *CREBBP* haploinsufficiency.
- Sleep disturbances have been observed as a common comorbidity in neurodevelopmental disorders (NDD) associated with genes which encode chromatin regulators, as *CREBBP*.
- CREBBP direct regulatory targets which are associated with insomnia show enrichment for pathways related to mitochondrial activity.
- Epigenetic modulation of energy metabolism pathways might be a putative factor underlying the clinical association between *CREBBP* haploinsufficiency and insomnia.

<sup>\*</sup> These authors have equally contributed to this work.

**Abstract:** Neurodevelopmental disorders (NDD) are characterized by cognitive. emotional, and/or motor skills impairment since childhood, and sleep disturbances are a common comorbidity. Rubinstein-Taybi syndrome (RTS), a rare genetic syndrome associated with NDD, is caused by CREBBP haploinsufficiency. This gene encodes an acetyltransferase with crucial role on the establishment of transcriptional programs during neurodevelopment. Although insomnia has been reported in RTS patients, the convergent mechanisms between this sleep disturbance and CREBBP loss-of-function remain unclear. We tested weather the genetic architecture underlying CREBBP regulatory targets and insomnia-associated genes is significantly shared. We then identified the biological pathways enriched among these shared genes. The intersection between CREBBP regulatory targets and genes associated with insomnia included 7 overlapping genes, indicating significantly more overlap than expected by chance. An over-representation analysis on these intersect genes identified pathways related to mitochondrial activity. This finding indicates that the transcriptional programs established by CREBBP might impact insomnia-related biological pathways through the modulation of energy metabolism. The overlapping gene set and biological pathways highlighted by this study may serve as a primer for new functional investigations of shared molecular mechanisms between insomnia and CREBBP regulatory targets.

**Keywords**: sleep, insomnia, *CREBBP*, neurodevelopmental disorders, energy metabolism, mitochondria.

#### 1. Introduction

Neurodevelopmental disorders (NDD) are a class of conditions characterized by the impairment of personal, social, academic, and/or occupational functioning<sup>1</sup>. Amongst the wide range of clinical manifestations involved with NDD, autism spectrum disorder (ASD) is one the most studied conditions. Even though the ASD diagnosis is dictated by communication and social interaction deficits<sup>1</sup>, recent research shows that sleep disturbances are also a prevalent feature in these individuals<sup>2</sup>, with sleep problems being predictive of severity of ASD core symptoms<sup>3,4</sup>.

A relevant rare genetic condition associated with ASD is the Rubinstein-Taybi syndrome (RTS). Around 50 to 60% of RTS patients present genetic variations in *CREBBP*, resulting in its haploinsufficiency<sup>5</sup>. This gene, which acts as a gene expression regulator, encodes a histone acetyltransferase (HAT) named CBP, which plays a role as co-activator of several transcriptional factors (TF), promoting chromatin remodeling and transcriptional activation<sup>6</sup>. Transcriptional programs established by *CREBBP* play an important role on cell differentiation during the mammalian neurodevelopment<sup>7</sup>.

In this context, *CREBBP* haploinsufficiency in RTS can be caused by diverse mutational mechanisms. Chromosomal rearrangements, such as deletion of the 16p13.3 genomic region, which encompasses this gene, are a known RTS underlying genetic factor. Alternatively, RTS can be caused by exonic point mutations on *CREBBP*, which are alterations of a coding nucleotide on the gene's DNA sequence, such as protein-truncating variants (i.e. abrogation of the protein code sequence) or missense mutations (i.e. nucleotide swap that culminates on an amino acid change). Regardless of the mutational mechanism, the etiology of RTS is generally associated with the functional impairment of the HAT domain coded by *CREBBP*, which is crucial to the protein's acetyltransferase enzymatic function<sup>5</sup>.

RTS phenotypes encompass atypical facial features, broad and large hallux and/or thumbs, low stature, intellectual impairment and ASD<sup>8</sup>. In addition, sleep alterations, including insomnia, have been reported among RTS patients<sup>6,9</sup>. A recent study with a Swedish cohort of RTS patients submitted to subjective sleep evaluation showed that 52% of these individuals had sleep disturbances<sup>6</sup>. Another study with Dutch patients indicated that sleep problems persist till adulthood in 11% of individuals with RTS<sup>9</sup>.

Although the recognition of insomnia as a relevant clinical feature in RTS patients, the convergent molecular mechanisms which link this sleep disturbance to *CREBBP* epigenetic

regulatory function remain unclear. In this study, we dissected the molecular pathways that, when disturbed by *CREBBP* mutation, contribute to the etiology of insomnia phenotypes in these patients.

#### 2. Materials and Methods

Manual curation of gene lists

We manually curated 2 set of genes (Supp. Table S1). The first list contains genes associated with insomnia traits and is a result of the union of hits derived from recent large scale genome wide association studies (GWAS). The second list is composed by genes directly regulated by *CREBBP*, and was generated by mapping previously described *CREBBP* genomic binding sites to gene promoters using Biomart. Lists of *CREBBP* genomic binding sites were obtained from publicly available ChIP-seq experiments from ENCODE database<sup>10</sup>.

Gene overlap analysis

We contrasted these 2 gene sets (i.e. genes associated with insomnia traits vs. genes directly regulated by *CREBBP*) to generate an intersection gene list. Using Fisher's Exact Test, we evaluated the statistical significance for the overlapping between these 2 gene lists with a threshold of *p-value*<0.05. This gene overlap analysis pointed to genes associated with insomnia traits among the *CREBBP* regulatory targets.

Pathway enrichment analysis

The Benjamini–Hochberg test was used to identify enriched pathways among the intersection gene list with a significance threshold of adjusted *p-value*<0.05. Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) terms were considered in the over-representation analysis.

#### 3. Results

Overlap between gene sets

There were 7 overlapping genes between the insomnia gene set (60 genes total) and the *CREBBP* regulatory targets (238 genes total), demonstrating significantly more overlap than expected by chance (*p-value*=4.8E-06; OR=11.9) (Figure 1A, Supp. Table S1).

Biological pathways enriched among the intersect gene list

Significantly enriched pathways among these 7 shared genes were related to mitochondrial structure (Figure 1B, Supp. Table S2).

#### 4. Discussion

This study suggests that enriched pathways among the intersection between insomnia-associated genes and *CREBBP* regulatory targets are related to mitochondrial structure and functioning. The mitochondrial inner membrane forms a complex structure called mitochondrial cristae, where occurs the tricarboxylic acid cycle (TCA), which is the one of the major processes of the energy metabolism<sup>11</sup>. Conversely, the outer mitochondrial guarantees the integrity of the organelle and forms the intermembrane space<sup>11</sup>, where occurs another crucial energetic process: the oxidative phosphorylation<sup>11</sup>.

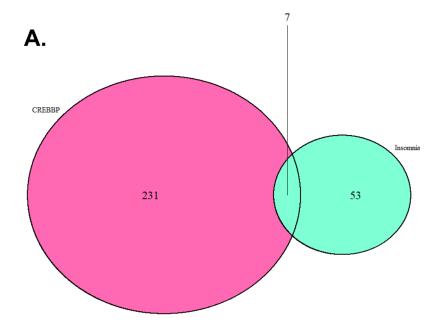
The mitochondrial recycling is essential to the maintenance of brain homeostasis, and this biological pathway is well-established as relevant for the neurodevelopment. In the brain, different mitochondrial shapes and sizes observed in neurons are known to be associated with synaptic activity<sup>12</sup>. Concordantly, during neurodevelopment, these changes in mitochondria forms are relevant for neuroplasticity<sup>12</sup>. In the context of ASD, mitochondrial dysfunction has been implicated in abnormalities related to transsynaptic transmission and brain neurodevelopment<sup>13</sup>.

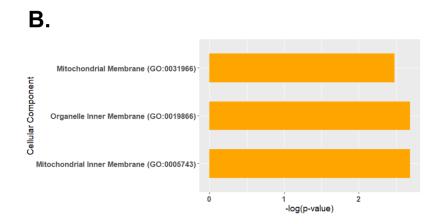
The relationship between insomnia and mitochondria has been previously reported. A bidirectional relationship linking sleep to oxidative stress has been observed, with sleep being relevant for the modulation of reactive oxygen species (ROS) levels<sup>14</sup>. In accordance with this observation, oxidative phosphorylation enzymes, such as cytochrome c oxidase (COX), have been shown to have their activity modulated by sleep deprivation<sup>15</sup>. Additionally, recent research disclosed the interplay between circadian rhythm regulators and mitochondrial structure-related genes<sup>16</sup>. To alter its shape and adapt to the energetic demands in the organism, mitochondria must follow the process of fusion (i.e. when 2 or more mitochondria bind together) or the fission (i.e. when 1 mitochondria is split into 2)<sup>16</sup>. The *MFN1* and *FIS1* genes encode key effectors in these 2 mitochondrial changes, respectively. Both of those genes have their expression regulated by the CLOCK/BMAL1 complex, which are regulators of the circadian rhythm<sup>16</sup>. Concordantly, changes in *Drosophila*'s sleep-awake cycle have been shown to impair mitochondrial activity, leading to an increase in the activity of genes related to oxidative stress and apoptosis<sup>17</sup>.

#### 5. Conclusion

The gene overlap and over-representation analysis here performed indicated that *CREBBP* haploinsufficiency might affect the epigenetic regulation processes related to energy metabolism. Oxidative stress and mitochondrial dysfunctions have been previously described as related to sleep disturbances and neurodevelopmental-related processes. The overlapping gene set and biological pathways highlighted by this study may serve as a primer for new functional investigations of shared molecular mechanisms between insomnia's genetic architecture and epigenetic regulatory programs relevant for the neurodevelopment.

#### **Appendices**





**Figure 1.** Venn diagram representing the gene list intersection between *CREBBP* regulatory targets and insomnia-associated genes (A) and significant enriched pathways among overlapping genes (B). CREBBP\_target = *CREBBP* regulatory genes targets. In\_insomnia = Insomnia related genes.

**Supplementary Table.** List of *CREBBP* regulatory targets, insomnia-associated genes and intersected genes between those 2 gene sets (S1) and enriched pathways between the 7 intersected genes (S2).

**Acknowledgements:** This work was supported by Associação Fundo de Incentivo à Pesquisa and grants from Fundação de Amparo à Pesquisa do Estado de São Paulo (FAPESP, 2020/13467-8 - recipient: MLA, and 2021/09089-0 - recipient: MMO) and Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq, recipient: MLA).

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#### Supp. Table 1 - Gene lists' composition

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[1] Zhang, J., Liu, J., Lee, D., Lou, S., Chen, 2
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- [2] Watanabe, K., Jansen, P. R., Savage, J. E
- [3] Jansen, P. R., Watanabe, K., Stringer, S.,

#### List of CREBBP regulatory targets [1]

ADAM12

AK2

ANTXRLP1

APP

ARAP2

ARHGAP22

**ARSD** 

**ARVCF** 

**ASMTL** 

ATP2B2

ATXN1

BCL2

BET1L

BNIP3P11

BSDC1

**BVES** 

C8B

CADPS2

CCDC12

CCR5AS

CD300H

CDYL2

CELF3

CELSR1

**CENPU** 

**CGAS** 

CHCHD3

CHD5

CLTCL1

COG5

COL28A1

COL6A4P1

CRTC3

CSMD1

CYP4F9P

DDR1-DT

DDX60

**DENND1B** 

DLG2

DNAH8

DOCK4

**DSCAM** 

DSCR4

ELAPOR2

ELOVL1

EPHA2

EPS8

**ERG** 

**EVPL** 

EZH2

FAM172A

FAT1

FBXO11

FBXW7

FGF13

FOXJ1

FRMD4A

FSIP2

GABBR2

GABRB2

**GAREM1** 

GFOD1

GLB1

GNB1L

GRB10

GRM4

H2AC25

HIRA

HIVEP3

**HNRNPL** 

HSF2BP

HSPG2

HTR4

**HYDIN** 

IGBP1P2

IGFBPL1

IL2RA

IMMP2L

INPP4B

**INSR** 

INTS7

IPCEF1

IQCA1L

IQSEC1

KANK4

KCNA2

KCNIP4

KCNJ6

KCNMA1

KCNQ10T1

**KCP** 

KIAA0319

KIF17

KIF6

KLHL22

KLK13

KMT2C

L3MBTL4

LBHD1

**LDHB** 

LMTK3

LRP1B

LRRC2

LRRFIP2

LUZP1

LYPD8

MAML2

MAP4

MARCHF1

MARCHF3

MASP2

MGAT4C

MICAL3

MOCS1

MRPS27

MXRA5

MYLK4

MYT1L

MZF1

NADK2

NEK1

NOS1

NRAV

NRXN1

OSBPL10

**PARN** 

PDE10A

PEBP4

**PEPD** 

PEX5L

PI4KA

PIK3R5

PIN1-DT

PIP5K1C

PKD1L2

**PLEC** 

PLEKHA6

PLXNA4

PPP1R9A

PPP2R2B

**PRAME** 

PREX1

PRKAG2

**PRKDC** 

PROM1

PTPRN2

**PUDP** 

PUM3

**PXDN** 

PXMP4

RCAN1

RCAN2

**RELN** 

**RERE** 

RFX1

RFX3

ROS1

RP1L1

RPS6KA2

RSPH1

RSPH14

RTL10

RTN4R

SBK3

SDCBP2

SEMA6A

SEMA6B

SERINC4

SERPINB1

SESN1

**SFPQ** 

SHANK2

SHISA7

SLC19A1

SLC22A24

SLC25A12

SLC25A16

SLC25A41

SLC30A10

SLC37A3

SLC4A5

SLC6A3

SLC9A9

SMARCD2

SMARCD3

SMCO4

SNX16

SNX8

SRGAP3

SRL

SRSF9

SULT1B1

SUMF1

SUPT3H

SUSD4

SYCE1

SYT2

SYT5

TBC1D16

TCAF1

TCEA3

TEX14

**TFEC** 

TFPI

THAP10

THBS2

**THEMIS** 

TIAM1

**TMEM107** 

TMEM132D

**TMEM273** 

**TMEM275** 

TMEM72

TOP3B

TRAPPC9

TRIM29

TRPV4

TSHZ3

TTC23L

TUBA3FP

TUBB8B

TXNRD2

U3

UBE2H

UNC13A

UNC93B1

USP54

VSTM1

WDR74

WEE2

YPEL1

ZBTB4

ZFPM2

ZNF280B

**ZNF423** 

**ZNF445** 

ZNF585B

ZNF800

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#### List of gene associated with Insomnia [2,3]

ALKBH8

ANKFY1

ANO10

ATL2

CBX1

CCDC148

CCDC57

CGGBP1

CHMP2A

COG5

CSNK1A1

**DCAKD** 

DIMT1

EGR2

EIF4E

FAM172A

FAM222B

FBXO31

GLO1

**GPANK1** 

HOOK2

IMMP2L

LMBR1L

LYRM2

MED20

MED27

MEX3C

MPHOSPH9

MRPS27

MZF1

NICN1

NTAN1

PAIP1

PAX6

PIK3C2A

PLCH1

POC1B

PPP1R10

PSMC3

RBM39

RC3H2

RPL4

RPS13

SLC25A12

SNAPC5

SNX16

STAU1

TAL1

TBX6

**TDRKH** 

TOB2

TTLL11

UBE2D3

UBE2M

UBE2W

USP49

ZDHHC24

ZNF260

ZNF280D

ZNF784

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#### Intersection gene list of Insomnia-associated genes versus CREBBP regulatory gene targets

COG5 FAM172A IMMP2L MRPS27 MZF1 SLC25A12 SNX16

https://doi.org/10.1186/s12859-020-03605-3
N. A., Gandhi, P. M., Heilbron, K., Hicks, B., Huber, K. E., ... Posthuma, D. (2022). G Tung, J. Y., Hinds, D. A., Vacic, V., Wang, X., Sullivan, P. F., van der Sluis, S., ... Pc

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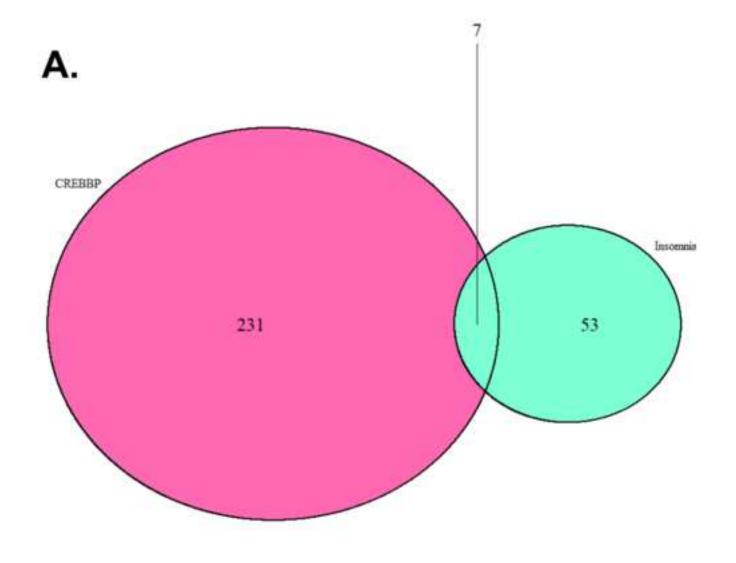
## Supp. Table 1 - Pathways enriched among intersect gene list

Database	Term	Overlap	P-value
GO_Cellular_CoO	rganelle Inner Membrane (GO:0019866)	3 out of 398	2.08E-04
GO_Cellular_Co Mi	itochondrial Membrane (GO:0031966)	3 out of 540	2.58E-04
GO_Cellular_CoEx	ktrinsic Component Of Endosome Membrane (GO:00:	1 out of 6	6.32E-04
KEGG_2021_Ht Pr	otein export	1 out of 23	8.02E-03
GO_Cellular_Co Mi	itochondrial Inner Membrane (GO:0005743)	3 out of 370	2.10E-03
GO_Molecular_FMi	itochondrial Ribosome Binding (GO:0097177)	1 out of 7	2.45E-03
GO_Molecular_FL-	aspartate Transmembrane Transporter Activity (GO:0	1 out of 8	2.80E-03
_	3 ( ,	1 out of 9	3.15E-03
	glutamate Transmembrane Transporter Activity (GO:		4.19E-03
	4-dicarboxylate Transmembrane Transporter Activity		4.89E-03
	cidic Amino Acid Transmembrane Transporter Activity		5.24E-03
•	etrograde Transport, Vesicle Recycling Within Golgi (	1 out of 8	2.80E-03
•	. , ,	1 out of 9	3.15E-03
_	<b>3</b>	1 out of 10	3.50E-03
-	spartate Family Amino Acid Metabolic Process (GO:0		4.19E-03
•		1 out of 12	4.19E-03
•	5 1 7	1 out of 13	4.54E-03
_	glutamate Transmembrane Transport (GO:0015813)		4.89E-03
_	' '	1 out of 14	4.89E-03
•	ositive Regulation Of Mitochondrial Translation (GO:0		4.89E-03
•	,	1 out of 15	5.24E-03
•	. ,	1 out of 15	5.24E-03
•	` ,	1 out of 18	6.28E-03
•	egulation Of Mitochondrial Translation (GO:0070129)		7.68E-03
•	arly Endosome To Late Endosome Transport (GO:00-		8.37E-03
-	esicle-Mediated Transport Between Endosomal Comp		8.72E-03
-		1 out of 26	9.07E-03
	,	1 out of 29	1.01E-02
-	<b>3</b> \	1 out of 29	1.01E-02
•	,	1 out of 32	1.12E-02
	,	1 out of 32	1.12E-02
-		1 out of 33	1.15E-02
	<b>5 6 1</b>	1 out of 34	1.18E-02
•	` '	1 out of 35	1.22E-02
•	alpha-amino Acid Transmembrane Transport (GO:19		1.39E-02
-	,	1 out of 41	1.43E-02
•	,	1 out of 42	1.46E-02
•	,	1 out of 44	1.53E-02
•	egulation Of Alternative mRNA Splicing, Via Spliceosc		1.84E-02
_		1 out of 56	1.94E-02
_	,	1 out of 57	1.98E-02
•	,	1 out of 59	2.05E-02
•	,	1 out of 59	2.05E-02
GO_Biological_FRe	esponse To Metal Ion (GO:0010038)	1 out of 64	2.22E-02

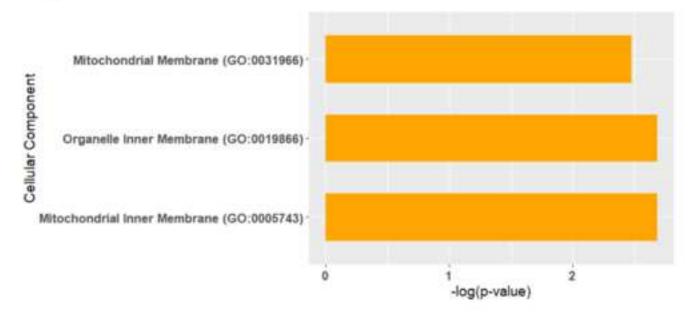
GO_Molecular_F Dicarboxylic Acid Transmembrane Transporter Activity 1 out of 28	9.76E-03
GO_Molecular_F Neutral L-amino Acid Transmembrane Transporter Acti 1 out of 41	1.43E-02
GO_Molecular_F Regulatory RNA Binding (GO:0061980) 1 out of 42	1.46E-02
GO_Molecular_F Proton Transmembrane Transporter Activity (GO:0015(1 out of 45	1.57E-02
GO_Molecular_FrRNA Binding (GO:0019843) 1 out of 46	1.60E-02
GO_Molecular_ftRNA Binding (GO:0000049) 1 out of 51	1.77E-02
GO_Molecular_FAmino Acid Transmembrane Transporter Activity (GO:(1 out of 56	1.94E-02
GO_Molecular_FL-amino Acid Transmembrane Transporter Activity (GC 1 out of 63	2.19E-02

## iusted P-vaOdds Ratio Genes 2.06E-03 40.11 IMMP2L;MRPS27;SLC25A12 2.06E-03 37.21 IMMP2L;MRPS27;SLC25A12 3.37E-03 27.17 SNX16 8.02E-03 151.30 IMMP2L 8.39E-03 666.27 IMMP2L;MRPS27;SLC25A12 2.53E-02 555.19 MRPS27 475.86 SLC25A12 2.53E-02 2.53E-02 416.35 FAM172A 2.53E-02 302.76 SLC25A12 256.15 SLC25A12 2.53E-02 2.53E-02 237.85 SLC25A12 2.62E-02 475.86 COG5 2.62E-02 416.35 SLC25A12 2.62E-02 370.07 IMMP2L 2.62E-02 302.76 SLC25A12 2.62E-02 302.76 SLC25A12 2.62E-02 277.51 IMMP2L 2.62E-02 256.15 SLC25A12 2.62E-02 256.15 SLC25A12 256.15 MRPS27 2.62E-02 2.62E-02 237.85 SLC25A12 2.62E-02 237.85 FAM172A 2.88E-02 195.84 *FAM172A* 2.91E-02 158.51 MRPS27 144.71 SNX16 2.91E-02 2.91E-02 138.67 SNX16 133.12 SNX16 2.91E-02 2.91E-02 118.84 SLC25A12 118.84 *FAM172A* 2.91E-02 107.32 FAM172A 2.91E-02 107.32 SLC25A12 2.91E-02 2.91E-02 103.96 COG5 2.91E-02 100.81 SNX16 2.91E-02 97.84 SLC25A12 3.09E-02 85.27 SLC25A12 3.09E-02 83.14 SNX16 3.09E-02 81.11 SLC25A12 3.12E-02 77.33 FAM172A 3.52E-02 63.91 FAM172A 3.52E-02 60.42 IMMP2L 3.52E-02 59.34 SNX16 57.28 IMMP2L 3.52E-02 3.52E-02 57.28 SLC25A12 3.70E-02 52.72 SLC25A12

4.04E-02	123.25 SLC25A12
4.22E-02	83.14 SLC25A12
4.22E-02	81.11 <i>FAM172A</i>
4.22E-02	75.56 SLC25A12
4.22E-02	73.88 MRPS27
4.28E-02	66.48 MRPS27
4.34E-02	60.42 SLC25A12
4.53E-02	53.58 SLC25A12



## В.



**Declaration of Interest Statement** 

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